Reset

Investment Change

Important information about this form

- Use this form to provide transfer and/or to change future contributions investment instructions.
- Please use the Fund Codes listed in the attached INVESTMENT OPTION LISTING (listed conservative to aggressive).
- The attached INVESTMENT OPTION LISTING includes all the investment options selected under your plan's group annuity contract. For more details on each of the investment options contact your plan administrator or log onto our website at **www.jhpensions.com**
- Any changes must be initialled in pen. (including numbers crossed out or changed using correction fluid)

1 General Information

The Trustee of DOUBLE FINE PRODUCTIONS, INC. Plan (the "Plan")
Contractholder Name

102057
Contract Number

Participant Name (Last Name, First Name, Initial)

Participant Social Security Number

2 Transfer Instructions - To change your CURRENT PORTFOLIO, complete either Option A or B

Option A

Rebalancing your TOTAL current retirement account portfolio

1. Indicate the Fund Code and percentage to be invested in the investment options.
2. Ensure that your total instructions add up to 100%.

Rebalance my total current account portfolio as follows.

Fund Code	%
Total	

Option B

Fund Transfer of a PORTION of your current retirement account portfolio

1. Indicate the investment option(s) FROM which the transfer is to be made and either the amount OR percentage to be withdrawn.
2. Indicate the investment option(s) TO which you want the transfer to be made and the percentage. This column must total 100%.

Investment changes may apply to both employee and employer money based on withdrawal protocol order. Contact your plan administrator for more information.

To indicate otherwise check here. ☐ **Employee** money only ☐ **Employer** money only

Transfer a portion of my current account portfolio from one fund to another fund.

Transfer From	
Fund Code	Use Only One ☑$ or ☐%

Transfer To	
Fund Code	% only
Total	

3 Future Contribution Instructions - Complete either Option C or D

Option C - If you choose to apply the instructions provided in Option A or B above to Future Contributions also, check here. ☐

Option D - Complete to specify new allocation instructions for your future contributions

1. Indicate the Fund Code and percentage to be invested in the investment option.
2. Ensure that your total instructions add up to 100%.

All changes made will apply to both ongoing contributions and future transfer/rollover money received from another carrier or qualified plan unless specific investment instructions accompany such transfer or rollover. Investment instructions may apply to both employee and employer money unless indicated otherwise by a checked box below.

☐ **Employee** money only ☐ **Employer** money only

Fund Code	%
Total	

4 Signatures

I have reviewed and understand the information provided on this form, and agree to the terms, conditions, restrictions and limitations relating to my request(s) on this form. I hereby authorize John Hancock USA to rely and act upon the instructions provided on this form.

Signature of participant | Name | Date

Signature of authorized plan representative | Name | Date

What you should know about investment changes

Through a group annuity contract issued by John Hancock Life Insurance Company (U.S.A.) ("John Hancock USA"), your company's qualified retirement plan offers a variety of investment options which include (a) sub-accounts (referred to as "Funds") that invest solely in shares of an underlying fund or collective trust.

- Changes will take effect as per our current **Administrative Guidelines** available from your Plan Administrator. If you choose to transfer all or a portion of your account balance to another fund on an effective date other than current market date, please ensure you are remitting the form to John Hancock USA for receipt before the close of the New York Stock Exchange on the desired market day.
- Unit values in Funds, including asset allocation portfolios (i.e. Lifestyle and Lifecycle Portfolios), are not guaranteed and will fluctuate depending on market performance. Transfers from Funds are made at the current market value.
- Transfers are subject to restrictions imposed by your plan (e.g. if your plan does not allow you to direct the investment of your employer contributions then your instructions will not apply to this portion of your account).
- If you select a Fund(s) that is currently not available, this transaction request is considered not in good order.
- You are allowed only one rebalance trade per day - if you submit multiple trade requests, subsequent trades may be considered not in good order. You may submit multiple trades if you are moving only a portion of your account, but if your requests contain conflicting instructions subsequent trades will be considered not in good order.
- Transfers are subject to our short-term trading policy and may be cancelled if contrary to the policy. In addition, some Fund companies may impose additional restrictions and/or may charge redemption fees for fund shares sold within a specified period of time. Please visit our website or call our toll-free service line for more information.
- The placement of investment options within investment categories shows John Hancock USA's assessment of those options relative to one another and should not be used to compare these investment options with other investment options available outside of John Hancock USA. John Hancock USA determines peer groups and indexes based on what it believes is the closest match in terms of investment objectives, policies, processes and style. Moreover, there can be no assurance that any investment option will experience less volatility than another. This information is not investment advice. Some funds are subject to a redemption fee. Please visit our website or

If you have any questions, please call our toll-free service line at 1-800-395-1113 and speak to one of our client account representatives.

INVESTMENT OPTION LISTING

Fund Code	Asset Allocation - Lifecycle	Sub-Advisor / Fund Manager
	Retirement Choices Portfolios	
CZB	Retirement Choices at 2010	John Hancock Asset Management
CZC	Retirement Choices at 2015	John Hancock Asset Management
CZD	Retirement Choices at 2020	John Hancock Asset Management
CZE	Retirement Choices at 2025	John Hancock Asset Management
CZF	Retirement Choices at 2030	John Hancock Asset Management
CZG	Retirement Choices at 2035	John Hancock Asset Management
CZH	Retirement Choices at 2040	John Hancock Asset Management
CZI	Retirement Choices at 2045	John Hancock Asset Management
CZJ	Retirement Choices at 2050	John Hancock Asset Management

	Asset Allocation - Lifestyle	Sub-Advisor / Fund Manager
CLS	Lifestyle Conservative	John Hancock Asset Management
MLS	Lifestyle Moderate	John Hancock Asset Management
BLS	Lifestyle Balanced	John Hancock Asset Management
GLS	Lifestyle Growth	John Hancock Asset Management
ALS	Lifestyle Aggressive	John Hancock Asset Management

	Conservative	Sub-Advisor / Fund Manager
MMR	Money Market Fund	MFC Global Investment Mgmt

Fund Code	Income	Sub-Advisor / Fund Manager
STB	T. Rowe Price Short Term Bond	T. Rowe Price Associates Inc
AGS	US Government Securities	American Funds
BIF	*Total Bond Market Fund	Declaration
BFA	The Bond Fund of America	American Funds
IQB	Investment Qual Bond Fund	Wellington
CWB	Capital World Bond Fund	American Funds
TGB	Templeton Global Bond Fund	Franklin Templeton
INP	DFA Inflation-Protected Sec	Dimensional Fund Advisors, Inc
RRB	Real Return Bond Fund	PIMCO
AHI	American High-Income Fund	American Funds

	Growth & Income	Sub-Advisor / Fund Manager
DIS	Mutual Global Discovery	Franklin Templeton
BGA	BlackRock Global Allocation	BlackRock
ABF	American Balanced Fund	American Funds
IFA	Income Fund of America	American Funds
PAX	Pax World Balanced Fund	Pax World Management Corp.
CIB	Capital Income Builder	American Funds
PEI	Parnassus Equity Income	Parnassus Investments
ICA	Investment Company of America	American Funds
WMI	Washington Mutual Investors	American Funds
IND	*500 Index Fund	John Hancock Asset Management
BBV	BlackRock Basic Value	BlackRock Investment Mgmt
REV	Columbia Equity Value	Columbia Management Investment Advisers LLC
D&G	T. Rowe Price Equity Inc	T. Rowe Price
VAL	Davis New York Venture	Davis

***** *None of the Index Funds or the underlying portfolios are sponsored, endorsed, managed, advised, sold or promoted by any of the respective companies that sponsor the broad-based securities market index, and none of these companies make any representation regarding the advisability of investing in the Index Fund.*

Fund Code	Growth	Sub-Advisor / Fund Manager
CON	Fidelity ContraFund	Fidelity
GFA	The Growth Fund of America	American Funds
LGI	Growth Index Fund	Vanguard
TSM	*Total Stock Market Index Fund	John Hancock Asset Management
ULT	Utilities Fund	MFS
AFI	Fundamental Investors	American Funds
ANP	New Perspective Fund	American Funds
GRF	All Cap Core Fund	QS Investors LLC
SOC	Domini Social Equity	Domini & Wellington
CGI	Capital World Growth & Income	American Funds
MVF	Mid Value Fund	T. Rowe Price
MSO	T. Rowe Price Sml Cap Val	T. Rowe Price
MCI	*Mid Cap Index Fund	John Hancock Asset Management
BCF	Blue Chip Growth Fund	T. Rowe Price
SMV	Small Cap Value Fund	Wellington Mgmt.
AOM	Aston/Optimum Mid Cap Fund	Optimum Invest Advisors, LLC
SSV	SSgA Mid Value Index Fund	SSgA FM

	Aggressive Growth	Sub-Advisor / Fund Manager
HLS	T. Rowe Price Health Sci	T. Rowe Price
TCG	Turner Core Growth Fund	Turner Investment Partners
SCG	Franklin Small-Mid Growth	Franklin Templeton
EPG	EuroPacific Growth Fund	American Funds
SCO	Inv Small Cap Growth	Invesco Advisers, Inc
SCI	*Small Cap Index Fund	John Hancock Asset Management
ANW	New World Fund	American Funds
ASW	SMALLCAP World Fund	American Funds
IIF	*Intl Equity Index Fund	SSgA FM
VSG	Small Cap Growth Index	Vanguard
MCS	Mid Cap Stock Fund	Wellington
DMK	Oppenheimer Developing Mkt	OppenheimerFunds
ISF	Intl Small Cap Fund	Templeton Investment Counsel
OPP	Royce Opportunity	Royce
DEM	DFA Emerging Markets Value	Dimensional Fund Advisors

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